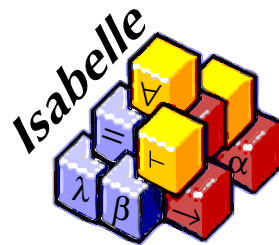


# Program extraction in Isabelle

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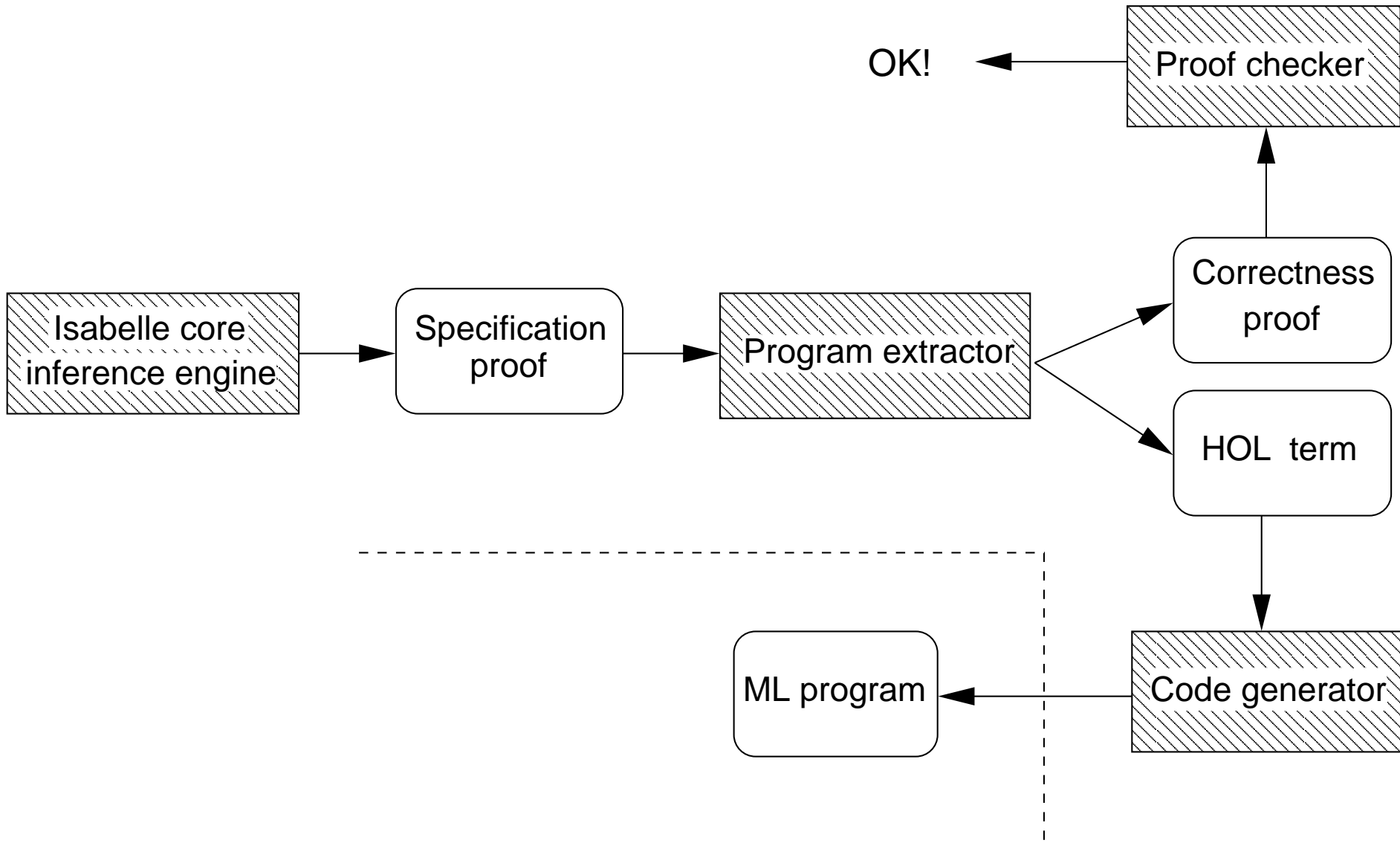
# Background

- **Isabelle**: Generic theorem prover (“logical framework”)  
Developed by L. Paulson and T. Nipkow since 1986
- **Primitive proof objects** (TPHOLs 2000)  
Intended application: proof carrying code
- **Code generation from higher order logic specification** (TYPES 2000)  
Main application: rapid prototyping of programming language semantics (Java, EU VerifiCard project)
- Combining proof objects and code generation: **Program extraction**

# Why program extraction?

- Traditional verification:
  1. write specification (logical formula)
  2. write program
  3. prove that program satisfies specification
- Problem: find proof principles (e.g. induction ...) that fit structure of program
- Program extraction:
  - systematic way of building programs that are correct **by construction**
  - Idea: (constructive) proof can be viewed as **program** + **correctness proof**

# Program extraction infrastructure



# Isabelle/HOL

- Theorem prover used for verification of functional programs (and many other things ...)
- Logical operators:  $\longrightarrow$ ,  $\wedge$ ,  $\vee$ ,  $\neg$ ,  $\forall$ ,  $\exists$ , ...
- Inductive datatypes

`datatype nat = 0 | Suc nat`

`datatype  $\alpha + \beta = \text{Inl } \alpha \mid \text{Inr } \beta$`

- Recursive functions / pattern matching

`nat_rec  $f g 0 = f$   
nat_rec  $f g (\text{Suc } n) = g n (\text{nat\_rec } f g n)$`

`case  $t$  of Inl  $x \Rightarrow \dots \mid \text{Inr } y \Rightarrow \dots$`

# What is a proof?

Curry-Howard isomorphism: proofs are programs

- A proof of  $A \longrightarrow B$  is a program that transforms a proof of  $A$  into a proof of  $B$
- A proof of  $\forall x. P x$  is a program that transforms an element  $x$  into a proof of  $P x$
- A proof of  $\exists x. P x$  is a pair consisting of an element  $x$  and a proof of  $P x$
- A proof of  $A \wedge B$  is a pair consisting of a proof of  $A$  and a proof of  $B$
- A proof of  $A \vee B$  is either a proof of  $A$  or a proof of  $B$
- ...

A proof of

$$\forall a b. \exists r q. a = \text{Suc } b * q + r \wedge r \leq b$$

is a function that takes two natural numbers  $a$  and  $b$  and returns a pair consisting of two natural numbers  $r$  and  $q$ , together with a proof of  $a = \text{Suc } b * q + r \wedge r \leq b$

# The Isabelle logical framework

$$\tau, \sigma = \alpha \mid (\tau_1, \dots, \tau_n)tc$$

$$\text{where } tc \in \{\text{prop}, \Rightarrow, \dots\}$$

## TYPES

---

$$t, u, P, Q = x \mid c_\tau \mid t t' \mid \lambda x :: \tau. t \quad \text{where } c \in \{\wedge_{(\tau \Rightarrow \text{prop}) \Rightarrow \text{prop}}, \Longrightarrow_{\text{prop} \Rightarrow \text{prop} \Rightarrow \text{prop}}, \dots\}$$

$$\frac{}{\Gamma, x :: \tau, \Gamma' \vdash x :: \tau} \quad \frac{}{\Gamma \vdash c_\tau :: \tau} \quad \frac{\Gamma \vdash t :: \tau \Rightarrow \sigma \quad \Gamma \vdash u :: \tau}{\Gamma \vdash t u :: \sigma} \quad \frac{\Gamma, x :: \tau \vdash t :: \sigma}{\Gamma \vdash \lambda x :: \tau. t :: \tau \Rightarrow \sigma}$$

## TERMS

---

$$p, q = h \mid c_{[\overline{\tau_n}/\overline{\alpha_n}]} \mid p \cdot t \mid p \cdot q \mid \lambda x :: \tau. p \mid \lambda h : P. p$$

$$\frac{}{\Gamma, h : t, \Gamma' \vdash h : t} \quad \frac{}{\Gamma \vdash c_{[\overline{\tau_n}/\overline{\alpha_n}]} : \Sigma(c)[\overline{\tau_n}/\overline{\alpha_n}]}$$

$$\frac{\Gamma \vdash p : \wedge x :: \tau. P \quad \Gamma \vdash t :: \tau}{\Gamma \vdash p \cdot t : P[t/x]} \quad \frac{\Gamma, x :: \tau \vdash p : P}{\Gamma \vdash \lambda x :: \tau. p : \wedge x :: \tau. P}$$

$$\frac{\Gamma \vdash p : P \Longrightarrow Q \quad \Gamma \vdash q : P}{\Gamma \vdash p \cdot q : Q} \quad \frac{\Gamma, h : P \vdash p : Q}{\Gamma \vdash \lambda h : P. p : P \Longrightarrow Q}$$

## PROOFS

---

# Formalizing object logics

Textbook notation	Isabelle notation
$\frac{P_1 \dots P_n}{Q}$	$\bigwedge P_1 \dots P_n Q. \quad P_1 \implies \dots \implies P_n \implies Q$
$\frac{\begin{array}{c} [P] \\ \vdots \\ Q \end{array}}{P \longrightarrow Q}$	$\bigwedge P Q. \quad (P \implies Q) \implies P \longrightarrow Q$
$\frac{\begin{array}{c} [x] \\ \vdots \\ P x \end{array}}{\forall x. P x}$	$\bigwedge P. \quad (\bigwedge x. P x) \implies \forall x. P x$



## Inference rules of Isabelle/HOL

impl	$\bigwedge P Q.$	$(P \implies Q) \implies P \longrightarrow Q$
mp	$\bigwedge P Q.$	$P \longrightarrow Q \implies P \implies Q$
allI	$\bigwedge P.$	$(\bigwedge x. P x) \implies \forall x. P x$
spec	$\bigwedge P x.$	$\forall x. P x \implies P x$
exI	$\bigwedge P x.$	$P x \implies \exists x. P x$
exE	$\bigwedge P Q.$	$\exists x. P x \implies (\bigwedge x. P x \implies Q) \implies Q$
conjI	$\bigwedge P Q.$	$P \implies Q \implies P \wedge Q$
conjunct <sub>1</sub>	$\bigwedge P Q.$	$P \wedge Q \implies P$
conjunct <sub>2</sub>	$\bigwedge P Q.$	$P \wedge Q \implies Q$
disjI <sub>1</sub>	$\bigwedge P Q.$	$P \implies P \vee Q$
disjI <sub>2</sub>	$\bigwedge Q P.$	$Q \implies P \vee Q$
disjE	$\bigwedge P Q R.$	$P \vee Q \implies (P \implies R) \implies (Q \implies R) \implies R$
FalseE	$\bigwedge P.$	$\text{False} \implies P$
nat.induct	$\bigwedge P n.$	$P 0 \implies (\bigwedge n. P n \implies P (\text{Suc } n)) \implies P n$

# Representation of proofs

## Proof of $A \wedge B \longrightarrow B \wedge A$

$\text{impl} \cdot A \wedge B \cdot B \wedge A \cdot (\lambda H : A \wedge B. \text{conjI} \cdot B \cdot A \cdot$   
 $(\text{conjunct}_2 \cdot A \cdot B \cdot H) \cdot (\text{conjunct}_1 \cdot A \cdot B \cdot H))$

## Proof of $A \vee B \longrightarrow B \vee A$

$\text{impl} \cdot A \vee B \cdot B \vee A \cdot (\lambda H : A \vee B. \text{disjE} \cdot A \cdot B \cdot B \vee A \cdot H \cdot$   
 $(\text{disjI}_2 \cdot A \cdot B) \cdot (\text{disjI}_1 \cdot B \cdot A))$

## Proof of $(\exists x. \forall y. P x y) \longrightarrow (\forall y. \exists x. P x y)$

$\text{impl} \cdot \exists x. \forall y. P x y \cdot \forall y. \exists x. P x y \cdot$   
 $(\lambda H : \exists x. \forall y. P x y. \text{allI} \cdot (\lambda y. \exists x. P x y) \cdot$   
 $(\lambda y. \text{exE} \cdot (\lambda x. \forall y. P x y) \cdot \exists x. P x y \cdot H \cdot$   
 $(\lambda x H : \forall y. P x y. \text{exI} \cdot (\lambda x. P x y) \cdot x \cdot$   
 $(\text{spec} \cdot P x \cdot y \cdot H))))$

## From proofs to programs

- What does it mean for an extracted program to be correct?
  - Realizability interpretation: **realizes**  $p$   $P$
- How to extract the program?
  - Map inference rules  $r$  in proof to programs  $\mathcal{E}(r)$
- How to obtain the correctness proof?
  - Map inference rules  $r$  in proof to correctness proofs  $\mathcal{C}(r)$
  - For  $\vdash r : P$ , show  $\vdash \mathcal{C}(r) : \text{realizes } \mathcal{E}(r) P$
- Not all parts of proof perform computations, e.g.

$$\underbrace{\forall a b. \exists r q.}_{\text{computation}} \underbrace{a = \text{Suc } b * q + r \wedge r \leq b}_{\text{verification of result}}$$

- programs extracted from computationally irrelevant subproofs have type **unit**

# Realizability

Establishes a relationship between programs and specifications

$$\begin{aligned} \text{realizes } (t :: \alpha \Rightarrow \beta) (A \Longrightarrow B) &\equiv \bigwedge x. \text{realizes } x A \Longrightarrow \text{realizes } (t x) B \\ \text{realizes } (t :: \alpha \Rightarrow \beta) (\bigwedge x. P x) &\equiv \bigwedge x. \text{realizes } (t x) (P x) \\ \\ \text{realizes } (t :: \text{unit}) P &\equiv P \\ \text{realizes } (t :: \alpha \Rightarrow \beta) (A \longrightarrow B) &\equiv \forall x. \text{realizes } x A \longrightarrow \text{realizes } (t x) B \\ \text{realizes } (t :: \alpha \Rightarrow \beta) (\forall x. P x) &\equiv \forall x. \text{realizes } (t x) (P x) \\ \text{realizes } (t :: \alpha \times \beta) (\exists x. P x) &\equiv \text{realizes } (\text{snd } t) (P (\text{fst } t)) \\ \text{realizes } (t :: \alpha + \beta) (P \vee Q) &\equiv \text{case } t \text{ of } \text{Inl } p \Rightarrow \text{realizes } p P \mid \text{Inr } q \Rightarrow \text{realizes } q Q \\ \text{realizes } (t :: \alpha \times \beta) (P \wedge Q) &\equiv \text{realizes } (\text{fst } t) P \wedge \text{realizes } (\text{snd } t) Q \\ \text{realizes } t \text{ False} &\equiv \text{False} \end{aligned}$$

## Example

$$\text{realizes } \text{division} (\forall a b. \exists r q. a = \text{Suc } b * q + r \wedge r \leq b) \equiv \\ \forall a b. a = \text{Suc } b * \text{fst } (\text{snd } (\text{division } a b)) + \text{fst } (\text{division } a b) \wedge \text{fst } (\text{division } a b) \leq b$$

where  $\text{division} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \times (\text{nat} \times (\text{unit} \times \text{unit}))$

# Extracting the program

How to find out type of extracted program?

- From formula

**Problem:** need many different versions of logical operators (e.g. in Coq):

```
Inductive ex [A:Set;P:A->Prop] : Prop
  := ex_intro : (x:A)(P x)->(ex A P).
```

```
Inductive sig [A:Set;P:A->Prop] : Set
  := exist : (x:A)(P x) -> (sig A P).
```

```
Inductive sigS [A:Set;P:A->Set] : Set
  := existS : (x:A)(P x) -> (sigS A P).
```

- From proof: Why not just use type inference?

$$\text{extr } \underbrace{\Gamma}_{\text{variable context}} \quad \underbrace{\theta}_{\text{type variable assignment}} \quad \underbrace{p}_{\text{proof}} = ( \underbrace{t}_{\text{term}}, \underbrace{\tau}_{\text{type}}, \underbrace{\theta'}_{\text{new type variable assignment}} )$$

## Extracting the program — continued

$$\text{extr } \Gamma \theta c = (t[\overline{?}\beta_n/\overline{\alpha}_n], \tau[\overline{?}\beta_n/\overline{\alpha}_n], \theta)$$

where  $t = \mathcal{E}(c) \quad \Gamma \vdash t :: \tau \quad \overline{\alpha}_n = \text{FV}(\tau)$

$$\text{extr } \Gamma \theta h = (\underline{h}, \Gamma(\underline{h}), \theta)$$

$$\text{extr } \Gamma \theta (\lambda x :: \tau. p) = (\lambda x :: \tau. t, \tau \Rightarrow \sigma, \theta')$$

where  $(t, \sigma, \theta') = \text{extr } (\Gamma, x :: \tau) \theta p$

$$\text{extr } \Gamma \theta (\lambda h : P. p) = (\lambda \underline{h} :: ?\alpha. t, ?\alpha \Rightarrow \sigma, \theta')$$

where  $(t, \sigma, \theta') = \text{extr } (\Gamma, \underline{h} :: ?\alpha) \theta p$

$$\text{extr } \Gamma \theta (p \cdot t) = \begin{cases} ((), \text{unit}, \theta) & \text{if } \theta_2 = \perp \\ (f t, ?\alpha, \theta_2 \circ \theta_1) & \text{otherwise} \end{cases}$$

where  $(f, \tau, \theta_1) = \text{extr } \Gamma \theta p \quad \Gamma \vdash t :: \sigma$   
 $\theta_2 = \text{mgu } (\theta_1(\tau)) (\theta_1(\sigma \Rightarrow ?\alpha))$

$$\text{extr } \Gamma \theta (p \cdot q) = \begin{cases} (f (), \sigma', \theta_1) & \text{if } \theta_1(\tau) = \text{unit} \Rightarrow \sigma' \\ ((), \text{unit}, \theta) & \text{if } \theta_3 = \perp \\ (f t, ?\alpha, \theta_3 \circ \theta_2) & \text{otherwise} \end{cases}$$

where  $(f, \tau, \theta_1) = \text{extr } \Gamma \theta p$   
 $(t, \sigma, \theta_2) = \text{extr } \Gamma \theta_1 q$   
 $\theta_3 = \text{mgu } (\theta_2(\tau)) (\theta_2(\sigma \Rightarrow ?\alpha))$

## Extracting the program — type inference

$$p = \text{exE} \cdot P \cdot Q$$

$$\text{extr } \Gamma \theta p = (f, ?\alpha \times ?\beta \Rightarrow (?\alpha \Rightarrow ?\beta \Rightarrow ?\gamma) \Rightarrow ?\gamma, \theta_1)$$

$$\Gamma \vdash q : \exists x. P x$$

- $q$  informative

$$q = \text{exI} \cdot \dots \cdot \dots \cdot \dots$$

$$\rightsquigarrow \text{extr } \Gamma \theta_1 q = (t, \tau_1 \times \tau_2, \theta_2)$$

$$\rightsquigarrow \text{extr } \Gamma \theta (p \cdot q) = (f t, (\tau_1 \Rightarrow \tau_2 \Rightarrow ?\gamma) \Rightarrow ?\gamma, \{?\alpha \mapsto \tau_1, ?\beta \mapsto \tau_2, \dots\})$$

- $q$  non-informative

$$q = \text{classical} \cdot \dots \cdot \dots$$

$$\rightsquigarrow \text{extr } \Gamma \theta_1 q = ((), \text{unit}, \theta_2)$$

$$\rightsquigarrow \text{extr } \Gamma \theta (p \cdot q) = ((), \text{unit}, \theta)$$

## Producing the correctness proof

$$\begin{aligned}
\text{corr } \Gamma \ p \ t &= \begin{cases} p & \text{if } \Gamma \vdash t :: \text{unit} \\ \text{corr}' \Gamma \ p \ t & \text{otherwise} \end{cases} \\
\text{corr}' \Gamma \ c \ _ &= \mathcal{C}(c) \\
\text{corr}' \Gamma \ h \ _ &= h \\
\text{corr}' \Gamma \ (\lambda x :: \_ . p) \ (\lambda x :: \tau . t) &= \lambda x :: \tau . \text{corr} (\Gamma, x :: \tau) \ p \ t \\
\text{corr}' \Gamma \ (\lambda h : P . p) \ (\lambda \underline{h} :: \tau . t) &= \lambda (\underline{h} :: \tau) \ h : \text{realizes } \underline{h} \ P . \text{corr} (\Gamma, \underline{h} :: \tau) \ p \ t \\
\text{corr}' \Gamma \ (p \cdot \_) \ (f \ t) &= \text{corr } \Gamma \ p \ u \cdot t \\
\text{corr}' \Gamma \ (p \cdot q) \ (f \ t) &= \text{corr } \Gamma \ p \ f \cdot t \cdot \text{corr } \Gamma \ q \ t
\end{aligned}$$

### Theorem

let  $(t, \dots, \theta') = \text{extr } \Gamma \ \theta \ p$   
 and  $\vdash p : \varphi$   
 then  $\vdash \text{corr } \Gamma \ p \ (\theta'(t)) : \text{realizes } (\theta'(t)) \ \varphi$

Proof: Induction on  $p$



## Programs corresponding to inference rules

impl	$\lambda P Q (pq :: \alpha \Rightarrow \beta). pq$
mp	$\lambda P Q (pq :: \alpha \Rightarrow \beta). pq$
alll	$\lambda P (p :: \alpha \Rightarrow \beta). p$
spec	$\lambda P x p. p x$
exl	$\lambda P x p. (x, p)$
exE	$\lambda P Q p pq. pq \text{ (fst } p) \text{ (snd } p)$
conjl	$\lambda P Q p q. (p, q)$
conjunct <sub>1</sub>	$\lambda P Q. \text{fst}$
conjunct <sub>2</sub>	$\lambda P Q. \text{snd}$
disjl <sub>1</sub>	$\lambda P Q. \text{Inl}$
disjl <sub>2</sub>	$\lambda P Q. \text{Inr}$
disjE	$\lambda P Q R pq pr qr. (\text{case } pq \text{ of Inl } p \Rightarrow pr p \mid \text{Inr } q \Rightarrow qr q)$
FalseE	$\lambda P (p :: \text{unit}). \text{arbitrary}$
nat.induct	$\lambda P n p_0 p_s. \text{nat\_rec } p_0 p_s n$

## Correctness of programs corresponding to inference rules (1)

$$\begin{aligned} \mathcal{C}(\text{impl}) &= \lambda P Q pq (h : \bigwedge u. \text{realizes } u P \implies \text{realizes } (pq u) Q). \\ &\quad \text{alll} \cdot (\lambda x. \text{realizes } x P \longrightarrow \text{realizes } (pq x) Q) \cdot \\ &\quad (\lambda x. \text{impl} \cdot \text{realizes } x P \cdot \text{realizes } (pq x) Q \cdot (h \cdot x)) \\ \mathcal{C}(\text{exE}) &= \lambda P Q p (h_1 : \text{realizes } (\text{snd } p) (P (\text{fst } p))) pq \\ &\quad (h_2 : \bigwedge x x'. \text{realizes } x' (P x) \implies \text{realizes } (pq x x') Q). \\ &\quad h_2 \cdot \text{fst } p \cdot \text{snd } p \cdot h_1 \end{aligned}$$

## Correctness of programs corresponding to inference rules (2)

What to do with higher order variables?

$$\text{realizes } t (P \overline{t_n}) \equiv P' t \overline{t_n}$$

### Example: induction on natural numbers

$$\text{realizes } (\lambda p_0 p_S. \text{nat\_rec } p_0 p_S n) (P 0 \implies (\bigwedge x. P x \implies P (\text{Suc } x)) \implies P n) \equiv$$

$$\bigwedge p_0. \text{realizes } p_0 (P 0) \implies (\bigwedge p_S. \text{realizes } p_S (\bigwedge x. P x \implies P (\text{Suc } x)) \implies \text{realizes } (\text{nat\_rec } p_0 p_S n) (P n)) \equiv$$

$$\bigwedge p_0. \text{realizes } p_0 (P 0) \implies (\bigwedge p_S. (\bigwedge x. \text{realizes } (p_S x) (P x \implies P (\text{Suc } x))) \implies \text{realizes } (\text{nat\_rec } p_0 p_S n) (P n)) \equiv$$

$$\bigwedge p_0. \text{realizes } p_0 (P 0) \implies (\bigwedge p_S. (\bigwedge x h. \text{realizes } h (P x) \implies \text{realizes } (p_S x h) (P (\text{Suc } x))) \implies \text{realizes } (\text{nat\_rec } p_0 p_S n) (P n)) \equiv$$

$$\underbrace{\bigwedge p_0. P' p_0 0 \implies (\bigwedge p_S. (\bigwedge x h. P' h x \implies P' (p_S x h) (\text{Suc } x)) \implies P' (\text{nat\_rec } p_0 p_S n) n)}_{\varphi}$$

Proof: Induction on  $n$

$$\mathcal{C}(\text{nat\_ind}) = \lambda P n. \text{nat\_ind\_realizer} \cdot (\lambda t x. \text{realizes } t (P x)) \cdot n$$

$$\text{where } \vdash \text{nat\_ind\_realizer} : \bigwedge P' n. \varphi$$

## Synthesizing a division algorithm (1)

$$\begin{aligned}
 & \text{nat.induct} \cdot (\lambda u. \exists r q. u = \text{Suc } b * q + r \wedge r \leq b) \cdot a \cdot \\
 & (\text{exI} \cdot (\lambda r. \exists q. 0 = \text{Suc } b * q + r \wedge r \leq b) \cdot 0 \cdot \\
 & (\text{exI} \cdot (\lambda q. 0 = \text{Suc } b * q + 0 \wedge 0 \leq b) \cdot 0 \cdot \\
 & (\text{conjI} \cdot 0 = \text{Suc } b * 0 + 0 \cdot 0 \leq b \cdot \dots \cdot \dots))) \cdot \\
 & (\lambda n H : \exists r q. n = \text{Suc } b * q + r \wedge r \leq b. \\
 & \text{exE} \cdot (\lambda r. \exists q. n = \text{Suc } b * q + r \wedge r \leq b) \cdot \exists r q. \text{Suc } n = \text{Suc } b * q + r \wedge r \leq b \cdot H \cdot \\
 & (\lambda r H : \exists q. n = \text{Suc } b * q + r \wedge r \leq b. \\
 & \text{exE} \cdot (\lambda q. n = \text{Suc } b * q + r \wedge r \leq b) \cdot \exists r q. \text{Suc } n = \text{Suc } b * q + r \wedge r \leq b \cdot H \cdot \\
 & (\lambda q H : n = \text{Suc } b * q + r \wedge r \leq b. \\
 & \text{disjE} \cdot r < b \cdot r = b \cdot \exists r q. \text{Suc } n = \text{Suc } b * q + r \wedge r \leq b \cdot \\
 & (\text{le_lt_eq_dec} \cdot r \cdot b \cdot (\text{conjunct}_2 \cdot n = \text{Suc } b * q + r \cdot r \leq b \cdot H)) \cdot \\
 & (\lambda H' : r < b. \\
 & \text{exI} \cdot (\lambda r. \exists q. \text{Suc } n = \text{Suc } b * q + r \wedge r \leq b) \cdot \text{Suc } r \cdot \\
 & (\text{exI} \cdot (\lambda q. \text{Suc } n = \text{Suc } b * q + \text{Suc } r \wedge \text{Suc } r \leq b) \cdot q \cdot \\
 & (\text{conjI} \cdot \text{Suc } n = \text{Suc } b * q + \text{Suc } r \cdot \text{Suc } r \leq b \cdot \dots \cdot \dots))) \cdot \\
 & (\lambda H' : r = b. \\
 & \text{exI} \cdot (\lambda r. \exists q. \text{Suc } n = \text{Suc } b * q + r \wedge r \leq b) \cdot 0 \cdot \\
 & (\text{exI} \cdot (\lambda q. \text{Suc } n = \text{Suc } b * q + 0 \wedge 0 \leq b) \cdot \text{Suc } q \cdot \\
 & (\text{conjI} \cdot \text{Suc } n = \text{Suc } b * \text{Suc } q + 0 \cdot 0 \leq b \cdot \dots \cdot \dots))))))
 \end{aligned}$$

## Synthesizing a division algorithm (2)

```
nat_rec  
  (0,  
   (0,  
    (( ), ( ))))  
( $\lambda n H :: \text{nat} \times (\text{nat} \times (\text{unit} \times \text{unit}))$ ).
```

```
case  
  le_lt_eq_dec (fst  $H$ )  $b$  ( ) of  
  | Inl ( $H' :: \text{unit}$ )  $\Rightarrow$   
    (Suc (fst  $H$ ),  
     (fst (snd  $H$ ),  
      (( ), ( ))))  
  | Inr ( $H' :: \text{unit}$ )  $\Rightarrow$   
    (0,  
     (Suc (fst (snd  $H$ )),  
      (( ), ( ))))  $a$ 
```

# Program extraction for inductive predicates

**inductive** rtrancl  $r$

rtrancl\_refl :  $(a, a) \in \text{rtrancl } r$

rtrancl\_into\_rtrancl :  $(a, b) \in \text{rtrancl } r \implies (b, c) \in r \implies (a, c) \in \text{rtrancl } r$

## Realizers for introduction rules

**datatype**  $(\alpha, \beta)\text{rtr} =$

Refl  $\alpha$

| Into  $\alpha \alpha \alpha ((\alpha, \beta)\text{rtr}) \beta$

**consts**

rtr\_realizes ::  $(\beta \implies (\alpha \times \alpha) \implies \text{bool}) \implies (\alpha, \beta)\text{rtr} \implies (\alpha \times \alpha) \implies \text{bool}$

**primrec**

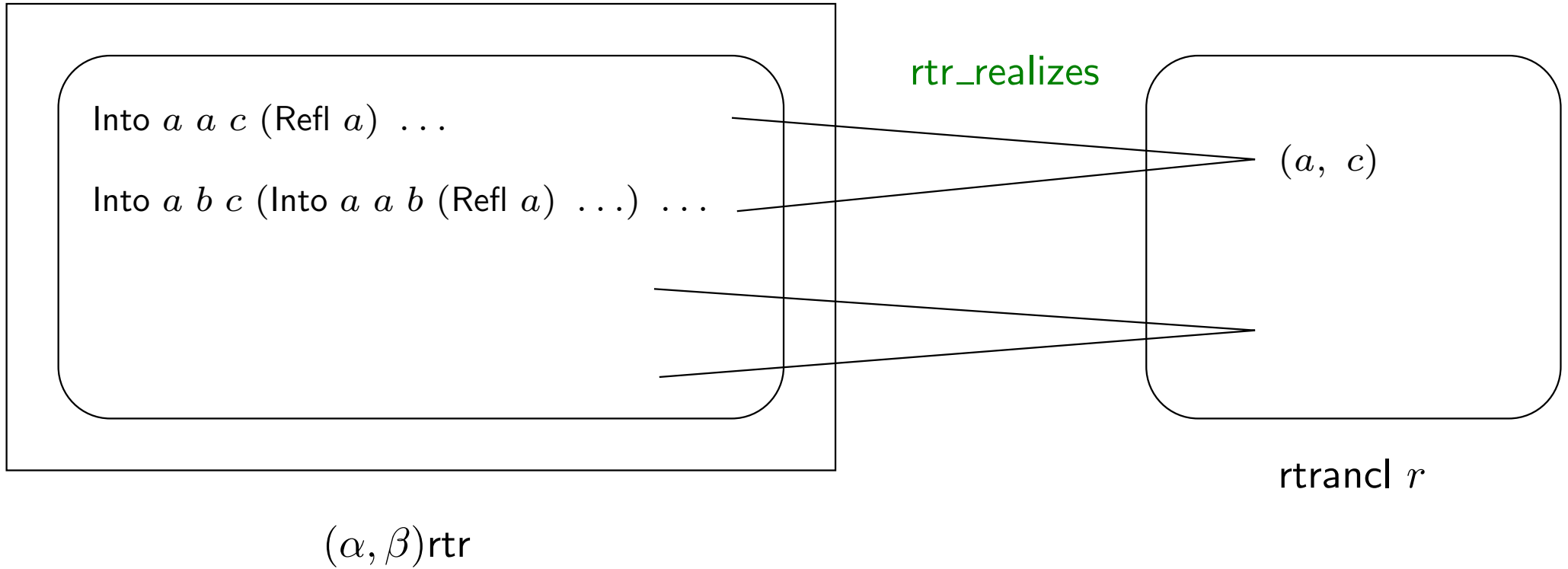
rtr\_realizes  $R$  (Refl  $a$ )  $aa = (\text{fst } aa = a \wedge \text{snd } aa = a)$

rtr\_realizes  $R$  (Into  $a b c p_{ab} p_{bc}$ )  $ac =$

$(\text{fst } ac = a \wedge \text{snd } ac = c \wedge \text{rtr\_realizes } R p_{ab} (a, b) \wedge R p_{bc} (b, c))$

**realizes**  $(t :: (\alpha, \beta)\text{rtr}) (xy \in \text{rtrancl } r) \equiv \text{rtr\_realizes } (\lambda p_{bc} bc. \text{realizes } p_{bc} (bc \in r)) t xy$

# Realizability for inductive predicates



$$r = \{(a, b), (b, c), (a, c), \dots\}$$

## Inductive predicates — realizer for induction rule

$$\text{rtrancl\_induct} : (x, y) \in \text{rtrancl } r \implies (\bigwedge a. P a a) \implies \\ (\bigwedge a b c. (a, b) \in \text{rtrancl } r \implies P a b \implies (b, c) \in r \implies P a c) \implies P x y$$

**consts**

$$\text{rtr\_ind} :: (\alpha, \beta) \text{rtr} \Rightarrow (\alpha \Rightarrow \gamma) \Rightarrow (\alpha \Rightarrow \alpha \Rightarrow \alpha \Rightarrow (\alpha, \beta) \text{rtr} \Rightarrow \gamma \Rightarrow \beta \Rightarrow \gamma) \Rightarrow \gamma$$

**primrec**

$$\text{rtr\_ind} (\text{Refl } a) f g = f a$$

$$\text{rtr\_ind} (\text{Into } a b c ab bc) f g = g a b c ab (\text{rtr\_ind } ab f g) bc$$

### Correctness theorem

$$\bigwedge p_{xy}. \text{rtr\_realizes } R p_{xy} (x, y) \implies (\bigwedge f. (\bigwedge a. P (f a) a a) \implies \\ (\bigwedge g. (\bigwedge a b c p_{ab}. \text{rtr\_realizes } R p_{ab} (a, b) \implies (\bigwedge p. P p a b \implies \\ (\bigwedge p_{bc}. R p_{bc} (b, c) \implies P (g a b c p_{ab} p p_{bc}) a c))) \implies \\ P (\text{rtr\_ind } p_{xy} f g) x y))$$



# Problems combining computationally relevant / irrelevant proofs

$$\lambda H : \varphi. \quad \boxed{\dots H \dots \boxed{\dots H \dots}}$$

**Correctness proof:**

$$\lambda(x :: \tau) H : \text{realizes } x \varphi. \quad \boxed{\dots H \dots \boxed{\dots H \dots}}$$

## Problem

If  $H$  computationally relevant (i.e.  $\tau \neq \text{unit}$ ), cannot just copy subproof unchanged into correctness proof

$$\boxed{\dots H \dots}$$

## Solutions

1. Insert additional subproof  $p$ :

$$\lambda(x :: \tau) H : \text{realizes } x \varphi.$$

$$\boxed{\dots H \dots \boxed{\dots p H \dots}}$$

where  $p : \text{realizes } x \varphi \implies \varphi$  (Note: not directly provable in intuitionistic logic)

2. Use different definition of realizability:

$$\text{q\_realizes } f (P \longrightarrow Q) \equiv (P \longrightarrow Q) \wedge (\forall x. \text{q\_realizes } x P \longrightarrow \text{q\_realizes } (f x) Q)$$

## Future work

- Better optimization of extracted programs  
Eliminate unnecessary ()'s
- Instantiate to other logics (e.g. ZF)  
Problem: type systems of meta logic and object logic need to coincide
- Larger case studies (e.g. graph theory)
- Program extraction from classical proofs?

## Related work

- Deductive Tableaux (Manna & Waldinger)
- Theorem provers based on type theory:
  - NuPRL (Constable)
  - Coq (Coquand, Huet, Paulin-Mohring)
- Minlog (Schwichtenberg)
- Extraction of structured programs from CASL specification proofs (Wirsing, Crossley)

## Realistic applications of extraction

- Type checker for Calculus of Constructions (B. Barras)
- Buchberger's algorithm (L. Théry)
- Java Card tokenisation algorithm (E. Denney)