# Tait

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## Contents

In this section, we will compare the Coq and Minlog formalizations of the normalization proof with a formalization in the theorem prover Isabelle/HOL. We start by giving the definition of types and terms:

datatype type = Iota | Arrow type type (infix  $\rightarrow 80$ ) datatype trm = Var name ('- 90) | App trm trm (infix " 80) | Abs name trm ( $\lambda$ [-].- [70,70] 80)

The judgement stating that t has type  $\tau$  in context  $\rho s$  is denoted by  $\rho s \vdash t : \tau$ . The definition of the typing judgement is as usual. In contrast to Minlog, Isabelle/HOL allows the definition of predicates by recursion over datatypes (also called "strong elimination" in Coq jargon). Thus, we can simply define SC by recursion over the datatype type:

**consts**  $SC :: type \Rightarrow trm \Rightarrow bool$  **primrec**  SC-Atom: SC Iota r = SN rSC-Fun:  $SC (\varrho \rightarrow \sigma) r = (\forall s. (SC \ \varrho \ s) \longrightarrow (SC \ \sigma \ (r"s)))$ 

However, when it comes to extracting a program from a proof involving SC, we face a problem: Since predicates in a proof become types in the extracted program, predicates such as SC defined by recursion on datatypes give rise to programs using dependent types. Such programs can neither be expressed inside Isabelle/HOL, nor can they easily be translated to functional programming languages such as ML. In order to get a program which is typable in a functional programming language without dependent types, we realize the formula  $SC \ \tau \ t$  by a datatype D with two constructors Term and Func. The former corresponds to the case where  $\tau$  is a base type, whereas the latter corresponds to the case where  $\tau$  is a function type. In ML, one would define the datatype D as follows:

datatype D = Term of nat -> trm | Func of trm -> D -> D

This datatype is beyond the scope of Isabelle/HOL, since D occurs *negatively* in the argument type of *Func*. It is interesting to note that in the logic HOLCF, which is a conservative extension of HOL with LCF, one can actually define the above datatype, provided that the type of partial continuous functions is used instead of the type of total functions. For the moment, we just assert the existence of type D, together with suitable constructors:

### typedecl D

### $\mathbf{consts}$

 $\begin{array}{l} Term :: (nat \Rightarrow trm) \Rightarrow D\\ Func :: (trm \Rightarrow D \Rightarrow D) \Rightarrow D\\ destTerm :: D \Rightarrow nat \Rightarrow trm\\ destFunc :: D \Rightarrow trm \Rightarrow D \Rightarrow D \end{array}$ 

Here, destTerm and destFunc are destructors corresponding to the constructors of the datatype D. These can be implemented using pattern matching. We can now assign realizing terms to the two characteristic equations for SC. Since we can view an equation between propositions as a conjunction of two implications, the equations for SC are realized by pairs, of which the first component is a destructor, and the second component is a constructor:

#### realizers

SC-Atom:  $\lambda$  t. (destTerm, Term) SC-Fun:  $\lambda \rho \sigma$  t. (destFunc, Func)

The proof of strong normalization is composed of the following parts:

 $\begin{array}{l} \textbf{lemma} \quad One : \forall r. \ (SC \ \varrho \ r \longrightarrow SN \ r) \land (SA \ r \longrightarrow SC \ \varrho \ r) \\ \textbf{lemma} \quad Two : \forall r \ r'. \ SC \ \varrho \ r' \longrightarrow H \ r \ r' \longrightarrow SC \ \varrho \ r \\ \textbf{lemma} \quad Three : \forall \varrho s \ \vartheta \ \varrho. \ \varrho s \vdash r : \varrho \longrightarrow (\forall z. \ SC \ (\varrho s \ z) \ (\vartheta \ z)) \longrightarrow SC \ \varrho \ (r \cdot \vartheta) \\ \textbf{lemma} \quad Norm: \forall \ \varrho s \ \varrho \ r. \ \varrho s \vdash r : \varrho \longrightarrow (\forall k. \ F \ r \ k \longrightarrow (\exists s. \ N \ r \ s)) \end{array}$ 

The computationally relevant predicates SN and SA are defined by

 $SN \ r \equiv \forall k. \ F \ r \ k \longrightarrow (\exists s. \ N \ r \ s)$  $SA \ r \equiv \forall k. \ F \ r \ k \longrightarrow (\exists s. \ A \ r \ s)$ 

The programs extracted from the above theorems have the types

They are defined as follows:

 $One \equiv$ type-rec ( $\lambda x.$  (destTerm, Term))  $(\lambda x \ xa \ H \ Ha \ xb.$  $(\lambda Hb \ x.$  $\lambda [\ddagger x].fst (Ha (xb `` `\ddagger x))$  $(destFunc \ Hb \ (` \sharp \ x) \ (snd \ (H \ (` \sharp \ x)) \ (\lambda xa. \ ` \sharp \ x)))$  $(Suc \ x)$ ,  $\lambda$ Hb. Func ( $\lambda$ s Hc. snd (Ha (xb "s)) ( $\lambda$ x. Hb x "fst (Hs) Hc x))))  $Two \equiv$ type-rec ( $\lambda x \ xa \ H$ . Term (destTerm H))  $(\lambda type1 type2 H Ha r r' Hb.$ Func  $(\lambda s \ H. \ Ha \ (r \ "s) \ (r' \ "s) \ (destFunc \ Hb \ s \ H)))$ Three  $\equiv$ trm-rec ( $\lambda$ name x xa xb H. H name)  $(\lambda x \ xa \ H \ Ha \ xb \ xc \ xd \ Hb.$ destFunc (H xb xc (app-type-elim xb x xa xd  $\rightarrow$  xd) Hb) (xa  $\cdot$  xc)  $(Ha \ xb \ xc \ (app-type-elim \ xb \ x \ xa \ xd) \ Hb))$  $(\lambda name \ trm \ H \ \rho s \ \vartheta \ \rho \ Ha.$ let (x, y) = abs-type-elim  $\rho$ in Func  $(\lambda s Hb.$ Two y (( $\lambda$ [name].trm  $\cdot \vartheta$ ) "s) (trm  $\cdot$  update  $\vartheta$  name s) (H (update  $\rho s name x$ ) (update  $\vartheta name s$ ) y  $(\lambda z. \ case \ name-eq-dec \ name \ z \ of \ Left \Rightarrow Hb$  $| Right \Rightarrow Ha z))))$  $Norm \equiv$  $\lambda \varrho s \ \varrho \ r.$ fst (One  $\rho$  r) (Three r  $\rho s$  subst-id  $\rho$  ( $\lambda x$ . snd (One ( $\rho s x$ ) ('x)) ( $\lambda x a$ . 'x)))

Due to the lack of non-computational quantifiers in Isabelle, the above programs contain typing information for the term to be normalized, which is unnecessary for the computation. In particular, the extracted programs use auxiliary functions corresponding to the elimination rules

$$\begin{array}{lll} var-type-elim: & \varrho \vdash \ 'x: \varrho \Longrightarrow \varrho = \varrho s \ x \\ abs-type-elim: & \Gamma \vdash \ \lambda[x].t: \varrho \Longrightarrow \\ \exists \sigma \ \sigma'. \ \varrho = \sigma \rightharpoonup \sigma' \land \ update \ \Gamma \ x \ \sigma \vdash t: \sigma' \\ app-type-elim: & \Gamma \vdash r \ "s: \varrho \Longrightarrow \exists \sigma. \ \Gamma \vdash r: \sigma \rightharpoonup \varrho \land \ \Gamma \vdash s: \sigma \end{array}$$

for the typing judgement. It turns out that all typing information can be omitted from function *Three*. This may seem a bit surprising, since *Three* calls function *Two*, which is defined by recursion on types. However, a closer look reveals that *Two* is actually just a complicated formulation of the identity function and can therefore be omitted. Unfortunately, Isabelle's program extraction framework cannot detect this automatically.